

STEPHEN M. RAFFLE, M.D. & ASSOCIATES

PSYCHOTHERAPY ■ FORENSIC PSYCHIATRY ■ INDEPENDENT MEDICAL EXAMINATION

STEPHEN M. RAFFLE, M.D.

DIPLOMATE IN PSYCHIATRY
DIPLOMATE IN FORENSIC PSYCHIATRY

Dr. Raffle is a board-certified psychiatrist (1977). In 1994, he became one of the first psychiatrists to be independently board certified in Forensic Psychiatry. In practice for 50 years, he has provided his expert opinion in more than 800 trials and depositions, and rendered his forensic opinion in 5,000+ matters.

In addition to his clinical psychiatric practice, approximately 50% of Dr. Raffle's practice is dedicated to rendering forensic psychiatric medical-legal opinions, including by report and testimony.

Dr. Raffle consults with attorneys, insurers, employers, and judges in multiple venues nationwide: civil, criminal, administrative, employment, EEOC, ADA, Title VII, Social Security, and the military. He has evaluated and/or testified about virtually all of the issues arising in his profession; e.g., PTSD¹-associated personal injury, undue influence, testamentary capacity, employment litigation, wrongful termination, fitness for duty, threat of violence, emotional distress, and long- and short-term disability.

Dr. Raffle was clinical faculty at UCSF School of Medicine's Department of Psychiatry for 27 years and Department of Orthopedic Surgery (as a psychiatrist) for 18 years. He taught attorneys about direct and cross-examination of expert witnesses in the UC Hastings College of the Law postgraduate course, "Trial and Appellate Advocacy," with focus on questioning mental health expert witnesses.

Medical Licenses

1969 - Present State of California
1989 - 2008 State of Alaska

Education ■ Qualifications ■ Board Certifications

1977 - Present Diplomate in Psychiatry, American Board of Psychiatry and Neurology
1994 - Present Diplomate in Forensic Psychiatry, American Board of Psychiatry and Neurology
1962 B.A. in Physiology, University of California Berkeley, California
1966 Doctor of Medicine, Chicago Medical School, Chicago, Illinois

¹ Posttraumatic Stress Disorder

- 1966 - 1972 **Internship and Residency**
- 1966 Medical Internship, Michael Reese Hospital, Chicago, Illinois
 - 1967 1st year psychiatric residency, University Hospitals, Case Western Reserve University, Cleveland, Ohio
 - 1968 2nd year residency, Letterman General Hospital, San Francisco, CA
 - 1970-1972 3rd and 4th year residency, Herrick Hospital, Berkeley, CA
 - 1971-1972 Auditor, University of California Berkeley School of Law (*formerly Boalt Hall*) independent program re medical-legal applications of psychiatry
- 1979 - 1993 Independent Medical Examiner, State of California Workers' Compensation Appeals Board
- 2006 and
2008 - 2016 Qualified Medical Examiner (QME), State of California Department of Industrial Relations, Division of Workers' Compensation Medical Unit

Academic Positions

- 1980 - 2001 Assistant Clinical Professor, Psychiatry, University of California San Francisco School of Medicine
- 1983 - 2001 Assistant Clinical Professor, Orthopedic Surgery (as a psychiatrist) University of California San Francisco School of Medicine
- 1985 - 2000 Instructor, UC Hastings Center for Trial and Appellate Advocacy
- 1972 - 1983 Instructor, Herrick Hospital Psychiatric Residency Training Program
- 1974 - 1980 Instructor, Psychiatry, University of California San Francisco School of Medicine

Professional Affiliations

- 1972 - Present President, Stephen M. Raffle, M.D. & Associates
- 2009 - 2016 PQME, AME, Warbritton & Associates Impairment Rating Systems (WAIRS), workers' compensation assessments/evaluations

Consulting Positions

- 2009 - 2016 Psychiatric Consultant, Hospice by the Bay, Larkspur, California
- 1994 - 1998 Consultant, U.S. Postal Service Threat Assessment Team, Oakland, CA
- 1993 - Present Senior Consultant, Work Trauma Services, San Francisco, California
- 1979 - Present Independent Medical Examiner, U.S. Department of Labor, Longshore and Harbor Workers' Compensation Act (LHWCA)
- 1979 - 1993 Independent Medical Examiner, State of California Workers' Compensation Appeals Board (WCAB)
- 1978 - 1987 Administrative Law Judges of Social Security Administration
- 1976 - 1980 Alameda County Personnel Department, rendering opinions and second opinions for county Sheriff's Department and law enforcement

- 1972 - Present Consultant to counsel for defense, plaintiffs, and applicants; employers; insurance companies; and myriad jurisdictions
- 1971 - 1974 Psychiatric Consultant, Neurological Rehabilitation Unit, Herrick Hospital, Berkeley, California
- 1969 - 1970 Psychiatric Consultant to the Surgeon General of the 5th United States Army, Headquarters, 5th United States Army
- Psychiatric Liaison to Judge Advocate General, 5th United States Army
- Acting Chief, Office of Physical Standards, Examiner of prospective West Point cadets

Professional Committees and Board Memberships

- 2007 - 2008 Member, Board of Directors, Hospice by the Bay Foundation
- 1994 - 1995 Medical Board of California, Board of Podiatric Medicine, Podiatry Diversion Evaluation Committee (for psychiatric impairment to medical practices)
- 1991 - 1995 Medical Staff Assistance Committee, Impaired Physician Committee, Samuel Merritt Hospital
- 1983 - 1986 Education Committee, Northern California Psychiatric Society
- 1976 - 1987 Northern California Psychiatric Society Task Force: *The Role of the Psychiatrist in the Criminal Law Process*
- 1973 - 1990 Chairman, Committee on Informed Consent ²

Hospital Affiliations

- 2002 - 2008 Staff Privileges, Kentfield Rehabilitation Hospital, Kentfield, California
- 1977 - 2002 Summit Medical Center, Oakland, California, (aka Merritt and Providence)
- 1972 - 1977 Herrick Hospital and Alta Bates Hospital, Berkeley, California

Professional Organizations

- American Medical Association (AMA)
- California Medical Association (CMA)
- American Psychiatric Association (APA), Life Member
- Northern California Psychiatric Society (NCPS)
- American Academy of Psychiatry and Law (AAPL)
- California Academy of Medicine
- Fellow, Royal College of Medicine (UK), inactive

² This committee merged into Committee on Psychiatry and the Law in 1978

Consultant (*partial list; references provided upon request*)

- U.S. Department of Justice
- California Attorney General
- United States Postal Service
- County District Attorneys and Public Defenders
California counties: San Francisco, Alameda, Contra Costa, Santa Clara, Mendocino, Calaveras, Merced, Santa Cruz, Monterey, and Solano; and Alaska counties: Anchorage, Palmer
- Private Employers
Oil and gas refineries and distributors; maritime industry; trucking; public and private utilities; government entities; manufacturers; municipalities; law enforcement; and insurance companies
- Workers' Compensation
Applicants' attorneys, applicants, defense attorneys, and insurers
- Private Law Firms
Domestic and international Fortune 500 law firms and sole practitioners

Presentations

- 2015 ***Malingering***
Presentation to Law Offices of John E. Hill for attorneys in civil litigation, personal injury, and workers' compensation practices
- 2014 ***Diminished Capacity in the Elderly for Trusts and Wills***
Hult International Business School, sponsored by the Herald Tribune World Youth Forum Alumni Association, Shanghai, China
- 2011 ***The Prosecutor's Best Use of a Psychiatrist or Psychologist Expert Witness in Cases of Alleged Stalking; Examination, and Cross-Examination of a Mental Health Expert Witness***
Collaborative Stalking Summit, San Francisco District Attorney's Office Stalking Task Force, conference for District Attorneys, law enforcement, and private sector attorneys
- 2011 ***A Few Thoughts About the Direct Examination and Cross-Examination of a Mental Health Expert Witness in Workers' Compensation Proceedings***
Featured speaker, Sacramento County Bar Association
- 2010 ***Posttraumatic Stress Disorder and Other Stress Response Syndromes***
Featured speaker, Industrial Claims Association of San Francisco
- 2009 ***Fitness for Duty and Employment Law Seminar***
Featured speaker, Kaiser Permanente
- 2009 ***Suicide Prevention & Assistance: Preparation of Suicide Prevention Materials***
Employee Assistance Program, Pacific Gas & Electric Company (PG&E) (*California's largest utility*)

- 2009 ***Threat Assessment in the Workplace***
United States Postal Service (*for regional medical unit personnel*)
- 1996 ***Chronic Pain Syndrome: An Interdisciplinary Approach***
Management Circle, The Public Pension Fund Forum for New Trustees
Conference
- 1995 ***Permanent and Stationary is Not Forever: Controlling Disability Payments
in the Public Pension Plan***
Institute for International Research
- 1992 - 1994 ***Sexual Harassment***
American Bar Association Annual Meeting
- 1992 ***Sexual Discrimination in the Workplace***
American Bar Association, National Meeting
- 1992 ***Trial of a Sexual Harassment Case: From Voir Dire to Jury Decision***
American Bar Association, Division for Professional Education
- 1991 ***Treatment of Chronic Pain***
Merritt-Peralta Medical Center, Oakland, California
- 1990 ***Psychiatric Malpractice: A Review of Treatment Risks, Opportunities, and
the Law***
Alaska Psychiatric Institute, Anchorage, Alaska
- 1990 ***Assessment of Chronic Pain***
Merritt-Peralta Medical Center, Oakland, California
- 1989 - 1990 ***Crisis Management for Psychiatric Emergencies***
United States Postal Service
- 1985 ***Stress and Cardiovascular Disease***
Intensive Residency for Occupational Medicine, University of California San
Francisco Medical School
- 1985 ***Psychiatry and Workers' Compensation Law***
California Applicants' Attorneys Association (CAAA)
(12-hour course presented over four days)
- 1984 ***Psychopathology and Physiology of Pain***
Northern California Psychiatric Society
- 1976 ***The Role of the Psychiatrist in the Courtroom***
American Society of Criminology, Tucson, Arizona
- 1973 ***Psychiatry and Criminal Law***
Continuing Education of the Bar (CEB), California

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